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| U. S. DEPARTMENT OF COMMERCE-PATENT AND TRADEMARK OFFICE | | ATTORNEY DOCKET NUMBER A-76162/JAS |
| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 | | |
| INTERNATIONAL APPLICATION NO. PCT/GB99/02029 | INTERNATIONAL FILING DATE June 28, 1999 | PRIORITY DATE CLAIMED June 27, 1998 |
| TITLE OF INVENTION COALESCER | | |
| APPLICANT(S) FOR DO EO US TURNBULL, Robert William | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO EO US) the following items and other information: | | |
| <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. [see enclosed Form PCT/IB/308] c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO US) <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (Unsigned) <input checked="" type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | |
| Items 11. to 16. below concern other document(s) or information included: | | |
| <ol style="list-style-type: none"> 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A change of power of attorney and/or address letter. 16. <input type="checkbox"/> Other items or information: | | |

17. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(A)(1)-(5)):

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$710.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,000.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | |
|---|--------------|--------------|------------|----|
| Total Claims | 12 | -20 = | X \$18.00 | |
| Independent Claims | 3 | -3 = | X \$88.00 | |
| Multiple dependent claims (if applicable) | | | + \$270.00 | \$ |

TOTAL OF ABOVE CALCULATIONS = \$ 860.00

Reduction by $\frac{1}{2}$ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL = \$ 860.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = \$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

TOTAL FEES ENCLOSED = \$ 860.00

| | |
|-----------------------|----|
| Amount to be refunded | \$ |
| charged | \$ |

- a. A check in the amount of \$860.00 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300-A-70162 JAS. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

R. MICHAEL ANANIAN
NAME

REG. NO. 35,050
REGISTRATION NUMBER

PATENT
Attorney Docket No.: A-70162/JAS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
TURNBULL)
)
NATIONAL PHASE ENTRY OF:)
PCT/GB99/02029)
)
Serial No.: Not Assigned)
)
Filed: Herewith)
)
For: COALESCER)
)

BOX PCT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

EXPRESS MAIL CERTIFICATION UNDER 37 CFR §1.10

I hereby certify that this New Application and the documents listed below and referred to as enclosed herein are being deposited with the United States Postal Service on this date DECEMBER 27, 2000 in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number **EL 527 866 945 US** addressed to: **Box PCT**, Assistant Commissioner for Patents, Washington, D.C. 20231.

Kari Bateman
(person mailing)


Signature

Serial No.: Not Assigned
Filed: HEREWITH

The following documents are enclosed herein for filing the above-identified new application:

1. Transmittal Letter to the U.S. Designated/Elected Office (Form PTO-1390);
2. Copy of the International Application as filed (PCT/GB99/02029);
3. Annexes to the IPER;
4. Preliminary Amendment;
5. Declaration for Patent Application (Unsigned);
6. Check for \$ 860.00 for Filing Fees.

Dated: December 27, 2000



R. Michael Ananian, Reg. No. 35,050
for: James A. Sheridan, Reg. No. 25,435

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